PATENT APPLICATION Docket No.: 3023.1002-001

Receipt

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Nabil A. Abu El Ata

Application No.:

09/942,096

Group:

2123

Filed:

August 28, 2001

Examiner:

Not Assigned

For:

AUTOMATED SYSTEM AND METHOD FOR DESIGNING

MODEL BASED ARCHITECTURES OF INFORMATION

**SYSTEMS** 

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231

n 19-10

Date

Signature

DONNA BARTOLONE

Typed or printed name of person signing certificate

# REQUEST FOR CORRECTED FILING RECEIPT FOR UTILITY APPLICATION

RECEIVED
MAR 1 3 2002
Technology Center 2100

Office of Initial Patent Examination

Customer Service Center

**Assistant Commissioner for Patents** 

Washington, D.C. 20231

Sir:

We hereby request that the following items of information be corrected in the Filing Receipt for the subject application received in this office on January 25, 2002.

A copy of a recently filed Declaration/Power of Attorney is submitted herewith.

09/942,096 -2-

The errors and corrections appear below.

"Domestic Priority data as claimed by applicant" should read:

THIS APPLN CLAIMS BENEFIT OF 60/228,702 08/29/2000
AND CLAIMS PRIORITY TO 09/606,869 06/29/2000
WHICH CLAIMS BENEFIT OF 60/142,313 07/02/1999.
THIS APPLN ALSO CLAIMS PRIORITY TO 09/127,191 07/31/1998 PAT 6,311,144
WHICH CLAIMS BENEFIT OF 60/085,350 05/13/1998.

Enclosed are copies of the Filing Receipt with changes noted in red, a copy of the Declaration/Power of Attorney filed on December 3, 2001 and a copy of page 1 of the Application filed on August 28, 2001.

Pursuant to instructions in the February 29, 2000 O.G., we hereby request that the errors which are identified above be corrected in the captioned application to which this request for correction is directed. It is understood that the Patent Office will issue an automatically-generated, corrected Filing Receipt in this and, if applicable, any other affected applications.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

Mary Lou Wakimura

Registration No.: 31,804 Telephone: (978) 341-0036 Facsimile: (978) 341-0136

Concord, MA 01742-9133 Date: 1 / 3 1 / 6~

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

FIL FEE REC'D ATTY.DOCKET.NO **DRAWINGS** TOT CLAIMS IND CLAIMS APPLICATION NUMBER FILING DATE GRP ART UNIT 3023.1002-5 5 24 2123 1110 08/28/2001 09/942,096 001

**CONFIRMATION NO. 6177** 

UPDATED FILING RECEIPT

Mary Lou Wakimura, Esq. HAMILTON, BROOK, SMITH & REYNOLDS, P.C. RECEIVED Two Militia Drive Lexington, MA 02421-4799

\*OC00000007317500\*

MAR 1 3 2002

**Technology Center 2100** 

Date Mailed: 01/16/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Nabil A. Abu El Ata, Omaha, NE;

Domestic Priority data as claimed by applicant

THIS APPLIN CLAIMS BENEFIT OF 60/228,702 08/29/2000 And Claims Prior 09/600,869 06/29/2000 Which claims Benefit of AND CLAIMS BENEFIT OF 60/142,313 07/02/1999-AND CLAIMS BENEFIT OF 60/085,350 05/13/1998-

Foreign Applications

(06) 142, 313 07 | 02 | 1999. This Apply also Claims priority to 09 | 127, 191 07 | 31 | 1998 PAT 6,311, H Granted 10/02/2001 Which Claims Benefit of 60 | 085,350 5 | 13 | 1998.

If Required, Foreign Filing License Granted 10/02/2001

Projected Publication Date: 04/25/2002

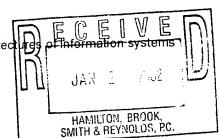
Non-Publication Request: No

Early Publication Request: No

Title

Automated system and method for designing model based archited

**Preliminary Class** 



# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



# United States Patent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE Washington, D.C. 20231

www.uspto.gov

		<u> </u>		
Γ	APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
	09/942,096	08/28/2001	Nabil A. Abu El Ata	3023.1002-001

**CONFIRMATION NO. 6177** 

\*OC00000007580145\*

Mary Lou Wakimura, Esq. HAMILTON, BROOK, SMITH & REYNOLDS, P.C. Two Militia Drive Lexington, MA 02421-4799

Date Mailed: 03/05/2002

## RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT

Claims, Fees, Inventors and Continuity

In response to your request for a corrected Filing Receipt, the Office is unable to comply with your request because:

The total number of claims appearing on the Filing Receipt does not include multiple dependent claims. The total fee appearing on the Filing Receipt includes the cost of multiple dependent claims that were present at the time the application was filed.
The filing fee is correct. It includes the surcharge under 37 CFR 1.16(e) for filing an oath/declaration or basic filing fee after the application filing date.
The inventor information may be truncated if the family name consists of more than 50 characters (letters and spaces combined) and if the given name consists of more than 50 characters (letters and spaces combined). The inventor's residence allows for up to 40 characters (letters and spaces combined).
The docket number allows a maximum of 25 characters.
Continuity claimed under 35 USC 120 cannot be added to the Filing Receipt without supplying the relationship (i.e. continuation, divisional).
Foreign priority will appear on the Filing Receipt in the following order:  Country, Application number, Filing date.
The person signing on behalf of the deceased inventor will be reflected on your Filing Receipt as the legal representative.
Only one character per space is allowed. Therefore, punctuation which is usually placed above or below a letter, such as an umlaut (), cannot be included on the Filing Receipt.



Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents Office of Initial Patent Examination Customer Service Center Washington, DC 20231

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY

PATENT APPLICATION Docket No.: 3023.1002-001



-1-



Date: 8/28/01 Express Mail Label No. EL 551754689 U.S.

Inventor:

10

15

Nabil A. Abu el Ata

Attorney's Docket No.: 3023.1002-001

# AUTOMATED SYSTEM AND METHOD FOR DESIGNING MODEL BASED ARCHITECTURES OF INFORMATION SYSTEMRECEIVED

MAR 1 3 2002

### RELATED APPLICATION

**Technology Center 2100** 

This application claims the benefit of U.S. Provisional Application No. 60/228,702, filed on August 29, 2000 and claims priority to Application No. 09/606,869, filed June 29, 2000, which claims the benefit of U.S. Provisional Application No. 60/142,313, filed on July 2, 1999. This application further claims priority to Application No. 09/127,191, filed July 31, 1998 (now allowed), which claims the benefit of U.S. Provisional Application No. 60/085,350, filed on May 13, 1998.

The entire teachings of all the above application are incorporated herein by reference.

#### BACKGROUND OF THE INVENTION

With the advent of electronic computing, business organizations, such as financial institutions, have utilized information systems to provide a computerized infrastructure for supporting business processes. An information system includes a number of interconnected hardware and software components, implementing one or more business solutions. The architectures of such systems are typically required to handle varying degrees of workload and priorities under imposed business constraints.

The design of system architectures having such requirements and constraints
represents a real challenge. Most existing methodologies, tools and techniques
concentrate on static, partial descriptions of computerized business infrastructures.